



## 2022 SEC EDGAR FILING CALENDAR – DEADLINES AND HOLIDAYS

### SEC DEADLINES BASED ON A DECEMBER 31, 2021 FISCAL YEAR END:

FORM TYPE	LARGE ACCELERATED FILERS	ACCELERATED FILERS	NON-ACCELERATED FILERS
<b>Form 10-K</b> (Period ended December 31, 2021)	Tuesday, March 1 (60 days from year end)	Wednesday, March 16 (75 days from year end)	Thursday, March 31 (90 days from year end)
<b>Form NT 10-K (Form 12b-25)</b> (1 day after filing due date)	Wednesday, March 2	Thursday, March 17	Friday, April 1
<b>Form 10-K</b> (with 15 day extension)	Wednesday, March 16	Thursday, March 31	Friday, April 15
<b>Form 20-F</b> (Foreign Private Issuers)	Monday, May 2 (4 months from year end)	Monday, May 2 (4 months from year end)	Monday, May 2 (4 months from year end)
<b>Form NT 20-F</b> (1 day after filing due date)	Tuesday, May 3	Tuesday, May 3	Tuesday, May 3
<b>Form 20-F</b> (with 15 day extension)	Tuesday, May 17	Tuesday, May 17	Tuesday, May 17
<b>Form 10-Q</b> (Period ended March 31, 2022)	Tuesday, May 10 (40 days from quarter end)	Tuesday, May 10 (40 days from quarter end)	Monday, May 16 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Wednesday, May 11	Wednesday, May 11	Tuesday, May 17
<b>Form 10-Q</b> (with 5 day extension)	Monday, May 16	Monday, May 16	Monday, May 23
<b>Form 10-Q</b> (Period ended June 30, 2022)	Tuesday, August 9 (40 days from quarter end)	Tuesday, August 9 (40 days from quarter end)	Monday, August 15 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Wednesday, August 10	Wednesday, August 10	Tuesday, August 16
<b>Form 10-Q</b> (with 5 day extension)	Monday, August 15	Monday, August 15	Monday, August 22
<b>Form 10-Q</b> (Period ended September 30, 2022)	Wednesday, November 9 (40 days from quarter end)	Wednesday, November 9 (40 days from quarter end)	Monday, November 14 (45 days from quarter end)
<b>Form NT 10-Q (Form 12b-25)</b> (1 day after filing due date)	Thursday, November 10	Thursday, November 10	Tuesday, November 15
<b>Form 10-Q</b> (with 5 day extension)	Monday, November 14	Monday, November 14	Monday, November 21

**INVESTMENT MANAGER DEADLINES:**

(45 days after calendar year end and

45 days after each of the first three calendar quarters end)

FORM TYPE	DUE DATE
Form 13F-HR	Monday, February 14
Form 13F-HR	Monday, May 16
Form 13F-HR	Monday, August 15
Form 13F-HR	Monday, November 14

**LARGER TRADER REGISTRATION DEADLINES:**

FORM TYPE	DUE DATE
Form 13H*	Monday, February 14

**THE SEC IS CLOSED FOR THE FOLLOWING HOLIDAYS:**

HOLIDAY	DATE
New Years Day (Observed)	Friday, January 1
Martin Luther King, Jr.	Monday, January 17
Washington's Birthday	Monday, February 21
Memorial Day	Monday, May 30
Juneteenth (Observed)	Monday, June 20
Independence Day	Monday, July 4
Labor Day	Monday, September 5
Columbus Day	Monday, October 10
Veterans Day	Friday, November 11
Thanksgiving Day	Thursday, November 24
Christmas Day (Observed)	Monday, December 26
New Years Day (2023) (Observed)	Monday, January 2

**OTHER FORM TYPES AND DUE DATES:**

Form 3	10 days after the individual becomes a reporting person
Form 4	2 business days after the transaction date
Form 5	45 days after Issuer's fiscal year end
Form 8-K	4 business days
Schedule 13D	10 days after the acquisition of more than 5% beneficial ownership; amendments are due promptly after material changes
Schedule 13G	45 days after fiscal year end
N-CEN (formerly N-SAR)	All registered investment companies, other than face-amount certificate companies – 75 days after fiscal year end. Unit investment trusts – 75 days after calendar year end
N-CSR	Due to be printed and mailed 60 days following the end of each half year by investment companies. EDGAR filing is due 10 days after the mailing date
N-PORT (formerly N-Q)	All registered management investment companies or an exchange-traded fund organized as a unit investment trust (other than a money market fund/small business investment company) – 30 days after the end of each month
N-PX	Due no later than August 31 <sup>st</sup>

For additional information, please contact us directly at 954-461-5769 or email us directly at [info@borerfinancial.com](mailto:info@borerfinancial.com)

PLEASE SEE THE SEC FILING FORMS SECTION OF OUR WEBSITE FOR A COMPLETE LIST OF DOWNLOADABLE FORMS

\*Amendments to Form 13H must be filed promptly following the end of a calendar quarter in which any of the information contained in a Form 13H filing becomes inaccurate for any reason. For example, a name change, business address or organization type change.